



**REPORT ON ACTIVITIES OF
ASEANSAI STRATEGIC PLAN COMMITTEE
DURING THE PERIOD 2013 – 2015**



Report on SPC activities 2013- 2015

1. Introduction

As stipulated in ASEANSAI Rules and Procedures, State Audit Office of Vietnam, as the Committee Chair of the Strategic Plan Committee (SPC), would report to the ASEANSAI Assembly on overall activities and status of ongoing projects of the Committee in the period 2013-2015.

The report involves the major SPC's activities, results achieved, and challenges for SPC during the period. Additionally, SPC make some proposals to seek for further attention from ASEANSAI members to promote implementation of monitoring and evaluation system in ASEANSAI activities to achieve strategic goals and objectives of ASEANSAI Strategic Plan 2014-2017 in the rest time.

2. Overview on Strategic Plan Committee activities

2.1. Missions of the SPC

As a result of the 1st ASEANSAI Assembly held in November 2011 in Bali, Indonesia, the SPC was formed with composition of the Audit Office of Vietnam as Committee Chair, and three members of the Audit Board of the Republic of Indonesia, Jabatan Audit Negara Malaysia and the Commission on Audit of the Republic of the Philippines. One of the SPC missions since its inception was establishing mid-term strategic plan in the period 2014- 2017 as a direction for ASEANSAI activities.

2.2. Development of ASEANSAI Strategic Plan 2014-2017

Pursuant to the SPC's Term of References (TOR), in the period 2011-2013, the SPC had drafted ASEANSAI strategic plan 2014-2017 that identify vision, strategic goals and objectives that ASEANSAI expect to achieve so as to fulfill its mission. With the great efforts and cooperation of the SPC members, together with support from other ASEANSAI members, the 2nd ASEANSAI General Assembly Meeting in November 2013 in Brunei had officially approved ASEANSAI Strategic Plan 2014-2017 .

2.3. Development and application of Monitoring and Evaluation System

Since ASEANSAI Strategic Plan 2014-2017 was formally approved at the 2nd ASEANSAI General Assembly Meeting in 2013, SPC began performing a new task with a lot challenges is developing the Monitoring and Evaluation System for implementation of ASEANSAI Strategic Plan 2014-2017 (hereafter refer as the Monitoring and Evaluation System). To perform this mission, the SPC has implemented



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many activities with the active support of the Executive Committee, the Secretariat, the SAI members, as well as the financial and technical support from GIZ, as following:

- January 2014: The SPC chaired a parallel technical meeting in Jakarta, Indonesia to discuss the Committees' Work Plan and Budget Plan 2014 – 2015, and plan out the development of the Monitoring and Evaluation system

- May 2014: the 5th SPC meeting was held in Danang, Vietnam to draft tools of Monitoring and Evaluation System, and to establish ASEANSAI result framework with concrete outcomes of the Strategic Plan;

- June 2014: the SPC finalized and sent these tools to the Executive Committee for reporting purpose, then put it into piloting stage within ASEANSAI.

- January 2015: the extend SPC meeting was held so as to disseminate the Monitoring and Evaluation System to ASEANSAI members. At the meeting, member SAIs drafted Progress Report and Annual Results Report 2014 by applying the Monitoring and Evaluation System tools.

- July 2015: the SPC completed its Work Plan and Budget Plan 2016- 2017 to report to the Executive Committee. These would be approved formally in the 3rd ASEANSAI Summit held in November 2015 in Cambodia.

- From November 2014 till now: the SPC has circulated the Monitoring and Evaluation System to ASEANSAI members to apply in continuous ASEANSAI activities; disseminated the system to Committees and the Secretariat to call for their coordination for developing the Progress Report so that submit to the Executive Committee.

3. Assessment of the SPC results achieved

In the period 2013- 2015, the SPC has properly performed its activities in compliance with ASEANSAI Strategic Plan 2014- 2017 as well as SPC's functions. In details:

The SPC establish the Monitoring and Evaluation System towards 2 aspects defined in ASEANSAI Strategic Plan 2014- 2017:

- Firstly, Monitoring under 2 levels: progress of projects/ activities (done by respective Committee), and overall implementation of ASEANSAI Strategic Plan 2014- 2017 (done by the Secretariat in coordination with SPC). The system indicates the discrepancies between Committee Work Plan and practical implementation of projects/ activities.



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- Secondly, evaluation comprises analysis the reasons for the discrepancies above, and identify the opportunities for improvements.

Furthermore, in the process of executing the Monitoring and Evaluation system, the SPC conducted *the Survey on SAI perception* for raising awareness of member SAIs on the mission, objectives, vision, contact information, strategic plan of ASEANSAI. The survey helps gather information, discover outstanding issues and hence determine the solutions as well.

The Monitoring and Evaluation system was established as a tool for ASEANSAI to accomplish its missions towards the completion of strategic goals done by respective member SAIs.

4. Outstanding issues in execution of the Monitoring and Evaluation system

Up till now, member SAIs' attention on application of the Monitoring and Evaluation system has not been raised much. Also, in the piloting stage of the monitoring and evaluation system, the SPC noticed some matters in assessing as: Committee Work Plan 2014-2015 did not demonstrate some specific results in align with ASEANSAI Strategic Plan, or Committee Progress Report is not delivered on schedule for the Secretariat and SPC to compile Annual Results Report.

5. Recommendations for execution of the Monitoring and Evaluation system

The execution process of the Monitoring and Evaluation System requires supports and cooperation from member SAIs within ASEANSAI. Particularly, the Committee Work Plan should follow and link to the strategic goals of the ASEANSAI Strategic Plan. The Committee Progress Report also should be delivered in timely manner to provide us inputs for Annual Results Report to submit to the Executive Committee.

